

## SCHEDULE OF ACCOUNT FEES Effective December 1, 2022

These fees apply to StoneX One brokerage accounts carried by StoneX Financial Inc. Items not included in this Schedule of Account Fees will be billed at standard rates (available upon request). This schedule is effective as of the date above and is subject to change upon not less than 30 days advance notice.

### **TRADING AND EXECUTION FEES**

U.S. Equities <sup>1</sup>	\$0.00 per trade, minimum \$0.00	
Equity Options <sup>1</sup>	\$0.00 commission (\$0.65 per contract, \$1.25 per contract for Index Options)	
Exercise and Assignment per Notification <sup>1</sup>	\$10.00	
Broker Assisted Trades	\$50.00 + applicable product fees	
No Load Mutual Funds <sup>2</sup>	\$14.95	

### **SERVICE FEES**

ACAT Processing Transfer In	No charge	
ACAT Processing Transfer Out (Full or partial)	\$125.00	Per ACAT processed
Account Termination Fee	\$0.00	
Bond Redemption/Conversions (physical certificates)	\$100.00	Per transaction
DRS Reject	\$30 + pass-through of TA & DTC fees	Per event
DRS Transfer	\$100.00 + pass-through of TA & DTC fees	Per event
DTC/Government/DWAC Delivery	\$30 + pass-through of TA & DTC fees	Per receipt or delivery
Electronic Confirms	\$0.00	Per confirm
Electronic Statements	\$0.00	Per statement
Legal, GNMA, and Restricted Transfers	\$75.00	Per transfer
Margin Call Deadline Extensions	\$25.00 + interest	
Paper Delivery Surcharge for Client Confirms <sup>3</sup>	\$12.00	Per confirm
Paper Delivery Surcharge for Client Statements <sup>3</sup>	\$20.00	Per statement
Reorganization Item - Voluntary/Mandatory	\$50.00/\$10.00	Per item

### **CASH MANAGEMENT FEES**

Domestic Wire Transfer	\$25.00	Per wire
International Wire Transfer	\$50.00	Per wire

### **MARGIN INTEREST**

Margin Rate	StoneX Base Rate <sup>4</sup> + 2.50%	
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<sup>1</sup> FINRA, stock exchanges, and other self-regulatory organizations impose fees upon us for executing transactions. An estimate of the transaction fees we expect to pay based upon your transactions will be charged to you.

<sup>2</sup> Only select no load funds are available. A list of funds is available upon request.

<sup>3</sup> Per trade confirmation or statement delivered. Clearing firm reserves the right to adjust the surcharge based upon changes in postal, paper, vendor or other cost factors. The paper delivery surcharge for confirmations is assessed on a per trade basis and billed as incurred.

<sup>4</sup> StoneX Base Rate is the Call Money Rate (as published by the Wall Street Journal and Bankrate.com) plus 2.50% per annum.