

# Schedule of Account Fees

Effective January 1, 2023

These fees apply to StoneX One accounts carried by StoneX Financial Inc. Items not included in this Schedule of Account Fees will be billed at standard rates (available upon request). This schedule is effective as of the date above and is subject to change upon not less than 30 days advance notice.

## TRADING AND EXECUTION FEES

U.S. Equities <sup>1</sup>	\$0.00 per trade, minimum \$0.00	
Equity Options <sup>1</sup>	\$0.00 commission (\$0.65 per contract, \$1.25 per contract for Index Options)	
Exercise and Assignment per Notification <sup>1</sup>	\$10.00	
Broker Assisted Trades	\$50.00 + applicable product fees	
No Load Mutual Funds <sup>1,2</sup>	\$14.95	
Listed Futures Contracts <sup>3</sup>	\$1.29 per side and contract	
Futures Contracts - Micros <sup>3</sup>	\$0.50 per side and contract	
Futures - Options (Opening position) <sup>3</sup>	\$2.58 per side and contract	
Futures - Options (Closing position) <sup>3</sup>	\$0.00 per side and contract	

## SERVICE FEES

ACAT Processing Transfer In	No charge	
ACAT Processing Transfer Out (Full or partial)	\$125.00	Per ACAT processed
Account Termination Fee	\$0.00	
Bond Redemption/Conversions (physical certificates)	\$100.00	Per transaction
DRS Reject	\$30 + pass-through of TA & DTC fees	Per event
DRS Transfer	\$100.00 + pass-through of TA & DTC fees	Per event
DTC/Government/DWAC Delivery	\$30 + pass-through of TA & DTC fees	Per receipt or delivery
Electronic Confirms	\$0.00	Per confirm
Electronic Statements	\$0.00	Per statement
Legal, GNMA, and Restricted Transfers	\$75.00	Per transfer
Margin Call Deadline Extensions	\$25.00 + interest	
Paper Delivery Surcharge for Client Confirms <sup>4</sup>	\$12.00	Per confirm
Paper Delivery Surcharge for Client Statements <sup>4</sup>	\$20.00	Per statement
Reorganization Item - Voluntary/Mandatory	\$50.00/ \$10.00	Per item

## CASH MANAGEMENT FEES

Domestic Wire Transfer	\$25.00	Per wire
International Wire Transfer	\$50.00	Per wire
Returned Deposit Item (Non-Sufficient Funds)	\$50.00	Per item

## MARGIN INTEREST

Margin Rate	StoneX Base Rate <sup>5</sup> + 2.50%
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## LIQUIDATION PROCEDURES

- In accordance with the Margins section of the Futures Customer Agreement and Disclosures document, StoneX reserves the right to liquidate any commodity positions in your account at its SOLE AND ABSOLUTE DISCRETION without prior notice. Clients are responsible for monitoring their positions and are financially responsible for any losses generated by open positions.
- Without limiting the foregoing, StoneX generally liquidates open positions if the client's Equity-to-Margin ratio (as defined below) drops below 5%, or the account Net Liquidating Value (NLV) drops below \$500.00 (whichever comes first). For accounts with only micro e-mini futures positions, a liquidation may occur when the Net Liquidating Value (NLV) drops below \$75.00. In such instances, your account may be locked, open positions may be liquidated, and if our risk management staff liquidates your position due to inaction on your part, a liquidation fee will apply. StoneX's current liquidation fee is \$50.00 per contract.
- Your "Equity-to-Margin ratio" is calculated by dividing your NLV by Initial Margin (IM).
- Day-Trade margin parameters will be brought to full exchange Initial Margin requirements at 3:30 pm CT. For any customer that entered a position using day-trade margins and has not offset their position prior to 3:45 pm CT, the positions MAY be liquidated.
- See Futures Customer Agreement Section 18 - Liquidation of Accounts.

<sup>1</sup> FINRA, stock exchanges, and other self-regulatory organizations impose fees upon us for executing transactions. An estimate of the transaction fees we expect to pay based upon your transactions will be charged to you.

<sup>2</sup> Only select no load funds are available. A list of funds is available upon request.

<sup>3</sup> Commission rates depicted in the table above are quoted on a per-contract, per-side basis. The table above does not include customary NFA and exchange fees. Additional fees may apply at some foreign exchanges. Liquidations due to insufficient margins \$50/contract. Voice execution fee is \$250/contract and does not include customary NFA and exchange fees.

<sup>4</sup> Per trade confirmation or statement delivered. Clearing firm reserves the right to adjust the surcharge based upon changes in postal, paper, vendor or other cost factors. The paper delivery surcharge for confirmations is accessed on a per trade basis and billed as incurred.

<sup>5</sup> StoneX Base Rate is the Call Money Rate (as published by the Wall Street Journal and Bankrate.com) plus 2.50% per annum.